

metric. Now the Webster scalar curvature  $W$  on a 3-dimensional contact metric manifold is defined by

$$W = \frac{1}{8}(R + \frac{1}{4}|\tau|^2 + 2);$$

by virtue of (2.4) and  $\frac{1}{4}|\tau|^2 = |h|^2$ ,  $W$  becomes

$$W = \frac{1}{8}(R - Ric(\xi) + 4).$$

Chern and Hamilton [11] studied the functional  $E_W(g) = \int_M W dV_g$  for 3-dimensional contact manifolds as a functional on  $\mathcal{A}$  regarded as the set of CR-structures on  $M$  and proved the following Theorem.

**Theorem 4.5 (Chern-Hamilton).** *Let  $M$  be a compact 3-dimensional contact manifold and  $\mathcal{A}$  the set of metrics associated to the contact form. Then  $g \in \mathcal{A}$  is a critical point of  $E_W(g) = \int_M W dV_g$  if and only if  $g$  is  $K$ -contact.*

An alternate proof was given by D. Perrone [19]. In view of the work we have done so far we can prove this theorem as follows.

**Proof of Theorem 4.5.** Clearly it is enough to consider  $\int_M R - Ric(\xi) dV_g$  and having computed the derivatives of each term separately we see that

$$\frac{d}{dt} \int_M R - Ric(\xi) dV_g \Big|_{t=0} = \int_M (-R^{ki} + h^i_m h^{mk} + R^k_{rs} \xi^r \xi^s - 2h^{ik}) D_{ik} dV_g.$$

Thus the critical point condition is

$$(Q\phi - \phi Q) - (l\phi - \phi l) - 4\phi h = -\eta \otimes \phi Q\xi + (\eta \circ Q\phi) \otimes \xi.$$

So far we have not used the fact that we are in dimension three and hence this is the critical point condition for the intergral of the generalized Tanaka-Webster scalar curvature as defined by Tanno [22]. Now in dimension 3 we can combine this condition with (3.6) to get  $h = 0$ .

## 5. The Abbena-Thurston manifold as a critical point

In 1976 W. Thurston [24] gave an example of a compact symplectic manifold with no Kähler structure. We will begin by discussing this manifold briefly and then turn to a natural Riemannian metric on this manifold introduced by E. Abbena [1]. For details of the topological obstructions to a Kähler structure we refer to [24] or [1] and simply remark here that the first Betti number of this manifold is 3 whereas the odd-dimensional Betti numbers of a compact Kähler are even.

Let  $G$  be the closed connected subgroup of  $GL(4, \mathbf{C})$  defined by

$$\left\{ \begin{pmatrix} 1 & a_{12} & a_{13} & 0 \\ 0 & 1 & a_{23} & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e^{2\pi ia} \end{pmatrix} \mid a_{12}, a_{13}, a_{23}, a \in \mathbf{R} \right\},$$

i.e.  $G$  is the product of the Heisenberg group and  $S^1$ . Let  $\Gamma$  be the discrete subgroup of  $G$  with integer entries and  $M = G/\Gamma$ . Denote by  $x, y, z, t$  coordinates on  $G$ , say for  $A \in G$ ,  $x(A) = a_{12}$ ,  $y(A) = a_{23}$ ,  $z(A) = a_{13}$ ,  $t(A) = a$ . If  $L_B$  is left translation by  $B \in G$ ,  $L_B^* dx = dx$ ,  $L_B^* dy = dy$ ,  $L_B^*(dz - xdy) = dz - xdy$ ,  $L_B^* dt = dt$ . In particular these forms are invariant under the action of  $\Gamma$ ; let  $\pi : G \rightarrow M$ , then there exist 1-forms  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  on  $M$  such that  $dx = \pi^* \alpha_1$ ,  $dy = \pi^* \alpha_2$ ,  $dz - xdy = \pi^* \alpha_3$ ,  $dt = \pi^* \alpha_4$ . Setting  $\Omega = \alpha_4 \wedge \alpha_1 + \alpha_2 \wedge \alpha_3$  we see that  $\Omega \wedge \Omega \neq 0$  and  $d\Omega = 0$  on  $M$  giving  $M$  a symplectic structure.

The vector fields

$$\mathbf{e}_1 = \frac{\partial}{\partial x}, \quad \mathbf{e}_2 = \frac{\partial}{\partial y} + x \frac{\partial}{\partial z}, \quad \mathbf{e}_3 = \frac{\partial}{\partial z}, \quad \mathbf{e}_4 = \frac{\partial}{\partial t}$$

are dual to  $dx, dy, dz - xdy, dt$  and are left invariant. Moreover  $\{\mathbf{e}_i\}$  is orthonormal with respect to the left invariant metric on  $G$  given by

$$ds^2 = dx^2 + dy^2 + (dz - xdy)^2 + dt^2.$$

On  $M$  the corresponding metric is  $g = \sum \alpha_i \otimes \alpha_i$ . The Riemannian manifold  $(M, g)$  is referred to as the *Abbena-Thurston manifold*.

Moreover  $M$  carries an almost complex structure defined by

$$J\mathbf{e}_1 = \mathbf{e}_4, \quad J\mathbf{e}_2 = -\mathbf{e}_3, \quad J\mathbf{e}_3 = \mathbf{e}_2, \quad J\mathbf{e}_4 = -\mathbf{e}_1.$$

Then noting that  $\Omega(X, Y) = g(X, JY)$ , we see that  $g$  is an associated metric.

The curvature of  $g$  was computed by E. Abbena in [1]. With respect to the basis  $\{\mathbf{e}_i\}$  the non-zero components of the curvature tensor are

$$R_{1221} = \frac{3}{4}, \quad R_{2332} = -\frac{1}{4}, \quad R_{1331} = -\frac{1}{4}.$$

Thus the Ricci operator  $Q$  is given by the matrix

$$\begin{pmatrix} \frac{1}{2} & 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

and we note that  $Q^2$  is parallel with respect to the Levi-Civita connection of  $g$  but that  $Q$  is not parallel.

The following observation stems from conversations between Won-Tae Oh and myself.

**Proposition 5.1 (Blair-Oh).** *The Abbena-Thurston manifold is a critical point of the functional*

$$I(g) = \int_M \left( \frac{4}{3} \text{tr} Q^3 - R \right) dV_g$$

on  $\mathcal{M}$ .

**Sketch of the proof.** Computing the critical point condition for  $I(g)$  on  $\mathcal{M}$  in general we find that it is

$$2(\nabla_m \nabla_i R_{jk} R^{km} + \nabla_m \nabla_j R_{ik} R^{km} - \nabla^m \nabla_m R_{jk} R^k{}_i - g_{ij} \nabla_m \nabla_l R^m{}_k R^{kl} - 2R_{im} R^m{}_k R^k{}_j + \frac{1}{2} R_{ij}) + \frac{1}{2} (\frac{4}{3} \text{tr} Q^3 - R) g_{ij} = c g_{ij}.$$

Now since  $Q^2$  is parallel and  $Q^3 = \frac{1}{4}Q$  on the Abbena-Thurston manifold we see that this metric on the underlying manifold  $M = G/\Gamma$  is a critical point of  $I(g)$ .

From the expression for  $Q$  it is clear that  $(M, g)$  is not Einstein nor is  $QJ = JQ$ . Thus this metric is not a critical point for  $A(g) = \int_M R dV_g$  considered as a functional on  $\mathcal{M}$  or on  $\mathcal{A}$  or for  $K(g) = \int_M R - R^* dV_g$  on  $\mathcal{A}$ . In particular it does not give a negative answer to the question of whether or not an almost Kähler manifold satisfying  $QJ = JQ$  is Kählerian. On the other hand  $(M, g)$  is a critical point for  $K$  in a different context; C. M. Wood [25] showed that the Abbena-Thurston manifold is a critical point of  $K$  defined with respect to variations through almost complex structures  $J$  which preserve  $g$ . For this problem the critical point condition is

$$[J, \nabla^* \nabla J] = 0,$$

where  $\nabla^* \nabla J$  is the rough Laplacian of the metric in question.

## 6. Problems involving other integrands

Finally we turn to a brief discussion of some related problems. In the Riemannian geometry of contact metric manifolds the tensor fields  $l$  and  $S$  defined by  $lX = R(X, \xi)\xi$  and  $S(X, Y) = R(X, Y)\xi$  play important roles. For example on a K-contact manifold  $l$  is the identity and on a Sasakian manifold  $S(X, Y) = \eta(Y)X - \eta(X)Y$ . More generally we have noted (equation (2.3)) that

$$\nabla_\xi h = \phi - \phi h^2 - \phi l.$$

Thus it seems reasonable to consider functionals defined by integrals such as  $\int_M |l|^2 dV_g$  and  $\int_M |S|^2 dV_g$ . In the case of the first of these Mr. S. R. Deng computed the critical point condition of  $\int_M |l|^2 dV_g$  as a functional on  $\mathcal{A}$  and noted the following.

**Proposition 6.1 (Deng).** *Let  $M$  be a compact contact manifold and  $\mathcal{A}$  the set of metrics associated to the contact form. Then a K-contact metric is a critical point of the functional  $\int_M |l|^2 dV_g$  on  $\mathcal{A}$ . More generally if for a metric  $g$ ,  $\nabla_\xi h = 0$ , then  $g$  is a critical point if and only if  $h^3 - h = 0$ .*

The original functionals  $A(g)$ ,  $B(g)$ ,  $C(g)$ ,  $D(g)$  on  $\mathcal{M}$  have been study further in the context of contact geometry by Muto [17] and Yamaguchi and Chūman [26]; the general thrust of their work is to suppose that a critical point is a Sasakian metric. For example we have the following results.