

Flokki planes and cubic polynomialsⁱ

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Abstract. Non-Desarguesian translation planes of order q^2 are constructed whenever $q = 2^e \geq 16$ and e is not divisible by 3. Each plane has kernel $\text{GF}(q)$ and translation complement of order $q(q-1)^2e$, with orbits of lengths 1, q and $q^2 - q$ on the translation line. The planes have elation groups of order q that produce derivable nets, but are not flock planes, semifield planes, or lifted planes.

The same algebraic tools are used to construct non-Desarguesian translation planes of order 2^p for every prime $p > 3$.

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1 Introduction

In the 1970's, Thas and Walker constructed translation planes from flocks of Miquelian Laguerre planes (**flock planes**, surveyed in [13]). Here we twist that construction with a field automorphism, still producing translation planes in Section 3 and new ones in Section 4, in the process recontextualizing the Hughes-Kleinfeld semifield planes [7].

Another impetus for this paper came from an attempt to use cubic polynomials in place of more common uses of quadratics in the study of 2-dimensional translation planes. This produces the planes in Section 4, and additional ones in Section 5. It is surprising, and perhaps even bizarre, that we construct planes of even order q and q^2 using the exact same computations, where q may or may not be a square.

We conclude in Section 6 with some open problems.

ⁱDedicated to Norm Johnson on the occasion of his 70th birthday.

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2 Flokki and Flokki planes

Flock planes are related to flocks of a quadratic cone. Each such cone can be transformed into a cone $xy = z^2$ of $\text{PG}(3, q)$. In this section we consider a cone on a plane curve obtained from a conic by twisting by a field automorphism. Recall that a **spread set** on $\text{GF}(q)^2$ is a set \mathbb{S} of q^2 2×2 matrices over $\text{GF}(q)$ such that the difference of any two is invertible; this determines a translation plane $\pi(\mathbb{S})$ in a standard manner [2, p. 220].

1 Proposition. *Consider the cone $C_\sigma := \{\langle x, y, z, w \rangle \mid x^\sigma y = z^{\sigma+1}\}$ in $\text{PG}(3, q)$ on the plane curve $x^\sigma y = z^{\sigma+1}$, where $\sigma \in \text{Aut}(\text{GF}(q))$. Consider functions $f, g: \text{GF}(q) \rightarrow \text{GF}(q)$. Then the planes $w = xt - yf(t)^\sigma + zg(t)^\sigma$, $t \in \text{GF}(q)$, partition $C_\sigma \setminus \{\langle 0, 0, 0, 1 \rangle\}$ if and only if*

$$\mathbb{S} := \left\{ \begin{pmatrix} u + g(t) & f(t) \\ t & u^\sigma \end{pmatrix} \mid t, u \in \text{GF}(q) \right\} \text{ is a spread set.}$$

PROOF. We have equations for q planes, each containing $q+1$ points of C_σ , while $|C_\sigma| = 1+q(q+1)$. Thus, in order to have a partition we only need to ensure that, if $t_1 \neq t_2$, then the conditions $w = xt_1 - yf(t_1)^\sigma + zg(t_1)^\sigma = xt_2 - yf(t_2)^\sigma + zg(t_2)^\sigma$ and $x^\sigma y = z^{\sigma+1}$ imply that $x = y = z = 0$. For distinct $t_1, t_2 \in \text{GF}(q)$, write $\Delta t := t_1 - t_2$, $\Delta f := f(t_1) - f(t_2)$ and $\Delta g := g(t_1) - g(t_2)$. Then our requirement states that $x^{\sigma+1}\Delta t - z^{\sigma+1}\Delta f^\sigma + x^\sigma z\Delta g^\sigma = 0 \Rightarrow (x, z) = (0, 0)$. If $z \neq 0$ and $u := (x\Delta t/z)^{\sigma^{-1}}$, then it follows that $u^{\sigma+1} + u^\sigma\Delta g - \Delta t\Delta f = 0$ must have no root $u \in \text{GF}(q)$. This is precisely the condition that \mathbb{S} is a spread set, and this argument reverses. □

We call a partition of C_σ as in the theorem a **flokki¹ of C_σ** , and the corresponding plane $\pi(\mathbb{S})$ is a **flokki plane**. These are (Thas-Walker) flock planes if $\sigma = 1$.

2 Example. The most obvious flokki are *linear*, where all planes contain a common line. This occurs if and only if f^σ and g^σ are linear. The corresponding flokki planes $\pi(\mathbb{S})$ are semifield planes. In fact, *they have been known for almost a half century*: they are the Hughes-Kleinfeld planes [7]. The flokki setting provides a uniform way to view this class of projective planes as part of a larger object (the cone C_σ) in place of the previous more computational view.

Unlike in the conical flock case there are many different orbits of such lines under the group of collineations preserving C_σ , and hence many “different” linear flokki. Presumably this can be used to explain the isomorphisms among the Hughes-Kleinfeld planes [17].

¹This is the Finnish word for “flock”. Plural: flokki.

3 Example. $\mathbb{S} := \left\{ \begin{pmatrix} u & nt^\tau \\ t & u^\sigma \end{pmatrix} \mid t, u \in \text{GF}(q) \right\}$ is a spread set, where $1 \neq \sigma \in \text{Aut}(\text{GF}(q))$, $\tau \in \text{Aut}(\text{GF}(q))$, and $n \in \text{GF}(q)$ is not of the form $u^{\sigma+1}/t^{\tau+1}$ (i.e., n is not a d th power for some $d \mid (\sigma + 1, \tau + 1)$). Here $g \equiv 0$ is linear, but \mathbb{S} is not linear if $\tau \neq \sigma$, in which case all q planes have in common only a single point: $\langle 0, 0, 1, 0 \rangle$. The semifield plane arising from \mathbb{S} is due to Knuth [16].

3 Flokki planes and nets

One starting point of this paper is the following result of Gevaert and Johnson:

4 Theorem. *A translation plane π of order q^2 with kernel $\text{GF}(q)$ admits an elation group E of order q one of whose component-orbits union the elation axis is a derivable net if and only if each of the component-orbits of E union the axis is a derivable net, if and only if π is isomorphic to $\pi(\mathbb{S})$, where*

$$\mathbb{S} := \left\{ \begin{pmatrix} u + g(t) & f(t) \\ t & u^\sigma \end{pmatrix} \mid t, u \in \text{GF}(q) \right\}$$

for some functions $f, g: \text{GF}(q) \rightarrow \text{GF}(q)$ and some $\sigma \in \text{Aut}(\text{GF}(q))$. Moreover, π is a flock plane if and only if $\sigma = 1$.

PROOF. This is a restatement of [3, Theorem 2.2], incorporating Note 2.2(ii) after Theorem 2.2 and the result in [8] that, in this situation, if the union of one of the component-orbits with the elation axis is a derivable net, then the union of each of the component-orbits with the axis is a derivable net. \square

The elation groups mentioned above are represented by the matrices

$$X = \begin{pmatrix} I & Y \\ 0 & I \end{pmatrix} \text{ with } Y = \begin{pmatrix} d & 0 \\ 0 & d^\sigma \end{pmatrix}, d \in \text{GF}(q).$$

It follows from Proposition 1 that a plane satisfies the hypotheses of Theorem 4 if and only if it is a flokki plane. Note that the preceding two results involve the same spread sets.

5 Example. HMO flokki planes

Suppose

$$\mathbb{S} = \left\{ \begin{pmatrix} s & f(s, t) \\ t & g(s, t) \end{pmatrix} \mid s, t \in \text{GF}(q) \right\}$$

is a spread set, where q is odd and n is a fixed non-square in $\text{GF}(q)$. Fix $\eta \in \text{GF}(q^2)$ with $\eta^2 = n$. Then

$$\mathbb{S}' = \left\{ \begin{pmatrix} u & f(s, t) + g(s, t)\eta \\ s + t\eta & u^q \end{pmatrix} \mid s, t \in \text{GF}(q), u \in \text{GF}(q^2) \right\}$$

is a spread set. The process of moving from the plane given by \mathbb{S} to the plane given by \mathbb{S}' is due to Hiramine-Matsumoto-Oyama [6], and is generalized to characteristic 2 in their Remark 2.4 (see also [9] and [15]), as follows. This time fix a basis $\{1, \eta\}$ for $\text{GF}(q^2)$ over $\text{GF}(q)$, and let $a = \eta + \eta^q$. If

$$\mathbb{S} := \left\{ \begin{pmatrix} s & f(s, t) + ag(s, t) \\ t & g(s, t) \end{pmatrix} \mid s, t \in \text{GF}(q) \right\}$$

is a spread set, then so is

$$\mathbb{S}' := \left\{ \begin{pmatrix} u & f(s, t) + g(s, t)\eta \\ s + t\eta & u^q \end{pmatrix} \mid s, t \in \text{GF}(q), u \in \text{GF}(q^2) \right\}.$$

Let $v = s + t\eta$ and let $\text{Tr}(x) = x + x^q$ be the trace map $\text{GF}(q^2) \rightarrow \text{GF}(q)$. For q odd, we have $s = \frac{1}{2}\text{Tr}(v)$ and $t = \frac{v - \frac{1}{2}\text{Tr}(v)}{\eta}$, so that with

$$F(v) := f(\text{Tr}(v)/2, \frac{v - \frac{1}{2}\text{Tr}(v)}{\eta}) + g(\frac{1}{2}\text{Tr}(v)\eta, \frac{v - \frac{1}{2}\text{Tr}(v)}{\eta})\eta,$$

$$\mathbb{S}' = \left\{ \begin{pmatrix} u & F(v) \\ v & u^q \end{pmatrix} \mid u, v \in \text{GF}(q^2) \right\}.$$

For q even, note that $a \neq 0$ as $\{1, \eta\}$ is a basis for $\text{GF}(q^2)$ over $\text{GF}(q)$. This time $t = \text{Tr}(v)/a$ and $s = v - \text{Tr}(v)\eta/a$. If $F(v) := f(v - \text{Tr}(v)\eta/a, \text{Tr}(v)/a) + g(v - \text{Tr}(v)\eta/a, \text{Tr}(v)/a)\eta$, then

$$\mathbb{S}' = \left\{ \begin{pmatrix} u & F(v) \\ v & u^q \end{pmatrix} \mid u, v \in \text{GF}(q^2) \right\}.$$

For both parities, $\pi(\mathbb{S}')$ is a flokki plane (with $g \equiv 0$ and σ of order 2), called a **lifted plane** in [12].

4 Cubic flokki planes

In the preceding section we were led to the equation $u^{\sigma+1} + u^\sigma \Delta g - \Delta t \Delta f = 0$. We do not know of any formula for its roots in general. However, in characteristic 2, when σ is the Frobenius automorphism $x^\sigma = x^2$ cubic equations naturally arise in constructing flokki planes, and there is such a classical formula. The **Hessian** of a cubic polynomial $F(x) = a_0x^3 + a_1x^2 + a_2x + a_3$ over any field is the polynomial $H(x) := (3a_0a_2 - a_1^2)x^2 + (9a_0a_3 - a_1a_2)x + (3a_1a_3 - a_2^2)$. The formula for the roots of cubic polynomials yields the following

6 Lemma. [5, Theorem 1.34]² Let F be a cubic polynomial over $\text{GF}(q)$ with distinct roots, where q is not a power of 3. Assume that its Hessian H has degree 2, with distinct roots β_1, β_2 in some extension field and $\beta_2 \neq 0$. Then F has no roots in $\text{GF}(q)$ if and only if either

- (i) $q \equiv 1 \pmod{3}$, $\beta_1, \beta_2 \in \text{GF}(q)$ and $F(\beta_1)/F(\beta_2) \notin \text{GF}(q)^3$, or
- (ii) $q \equiv 2 \pmod{3}$, $\beta_1, \beta_2 \in \text{GF}(q^2) \setminus \text{GF}(q)$ and $F(\beta_1)/F(\beta_2) \notin \text{GF}(q^2)^3$.

Recall that the **absolute trace** T maps a given field K of characteristic 2 onto $\text{GF}(2)$. If $k \in K$, then $T(k) = 0$ if and only if the quadratic $x^2 + x + k$ is reducible over K .

7 Theorem. For even q and functions $f, g: \text{GF}(q) \rightarrow \text{GF}(q)$, let

$$\mathbb{S} := \left\{ \begin{pmatrix} s + g(t) & f(t) \\ t & s^2 \end{pmatrix} \mid s, t \in \text{GF}(q) \right\}.$$

Write $\Delta t = t_1 + t_2$, $\Delta f = f(t_1) + f(t_2)$ and $\Delta g = g(t_1) + g(t_2)$ for $t_1, t_2 \in \text{GF}(q)$. Assume that g is 1-1 (or, more generally, that $\Delta t \neq 0 = \Delta g \Rightarrow \Delta t \Delta f \notin \text{GF}(q)^3$). If $\Delta f \Delta g \neq 0$ let $\beta_i = \beta_i(t_1, t_2), i = 1, 2$, be the roots of the quadratic $[\Delta g]^3 x^2 + \Delta t \Delta f x + \Delta t \Delta f = 0$. Then \mathbb{S} is a spread set if and only if f is 1-1 and, for any distinct $t_1, t_2 \in \text{GF}(q)$, either

- (i) $q \equiv 1 \pmod{3}$, and $T\left(\frac{[\Delta g]^3}{\Delta t \Delta f}\right) = 0$ and $\beta_1/\beta_2 \notin \text{GF}(q)^3$ whenever $\Delta g \neq 0$, or
- (ii) $q \equiv 2 \pmod{3}$, and $T\left(\frac{[\Delta g]^3}{\Delta t \Delta f}\right) = 1$ and $\beta_1/\beta_2 \notin \text{GF}(q^2)^3$ whenever $\Delta g \neq 0$.

PROOF. Assume that \mathbb{S} is a spread set. Then

$$(u_1 + u_2)^3 + (u_1 + u_2)^2 \Delta g + \Delta t \Delta f = 0 \Rightarrow (t_1, t_2) = (u_1, u_2),$$

and we must deduce (i) or (ii). Putting $U = u_1 + u_2$ yields the cubic equation

$$U^3 + U^2 \Delta g + \Delta t \Delta f = 0.$$

We may assume that $\Delta t \neq 0$. Then f must be 1-1 (as otherwise $\Delta f = 0$ for some distinct t_1, t_2 , and then $U = \Delta g$ would yield a contradiction), and hence also $U \neq 0$.

By hypothesis, $\Delta g \neq 0$. Then $h = h(t_1, t_2) := \frac{\Delta t \Delta f}{[\Delta g]^3} \neq 0$ and

$$[U/\Delta g]^3 + [U/\Delta g]^2 + h = 0.$$

²There are slight errors in [5, Theorem 1.34], see the Errata included in reference [5].

The cubic $F(x) = F_{t_1, t_2}(x) := x^3 + x^2 + h \in \text{GF}(q)[x]$ has Hessian $H(x) = x^2 + hx + h$, with roots β_i satisfying $0 \neq h\beta_i = \beta_i^2 + h$. Then $F(\beta_i) = \beta_i^3 + \beta_i^2 + h = \beta_i(\beta_i^2 + h) = \beta_i h \beta_i$, so $F(\beta_1)/F(\beta_2) = \beta_1^2/\beta_2^2$.

Clearly $(\beta_i/h)^2 + (\beta_i/h) = 1/h$. If $q \equiv 1 \pmod{3}$, by Lemma 6 we need to have $\beta_1^2/\beta_2^2 \notin \text{GF}(q)^3$ and $\beta_i \in \text{GF}(q)$, so that $T(1/h) = 0$. The case $q \equiv 2 \pmod{3}$ is similar. In either case F has distinct roots: a multiple root of F would be a root of its derivative x^2 , whereas $F(0) = h \neq 0$.

Finally, this argument reverses, yielding the converse. □

We call the planes $\pi(\mathbb{S})$ arising from Theorem 7 **cubic flokki planes**.

8 Example. $g \equiv 0$ and $f(t) = kt^2$ with k a non-cube. The cubic is $U^3 + \Delta tk[\Delta t]^2$, and its roots are not in $\text{GF}(q)$. This is a special case of Example 3.

9 Corollary. *If the functions f, g produce a cubic flokki plane then so do the functions f^{-1}, gf^{-1} .*

PROOF. Set $z_i = f(t_i)$ in (i) and (ii). (This produces the transpose of the spread set \mathbb{S} .) □

10 Remark (Another variant). If

$$\mathbb{S} := \left\{ \begin{pmatrix} s + g(t) & f(t) \\ t & s^{1/2} \end{pmatrix} \mid s, t \in \text{GF}(q) \right\},$$

let $u = s^{1/2}$ to put this into the form

$$\mathbb{S} = \left\{ \begin{pmatrix} u^2 + g(t) & f(t) \\ t & u \end{pmatrix} \mid u, t \in \text{GF}(q) \right\},$$

where a cubic is evident. Now use a variant of the calculations above in order to obtain conditions involving $T\left(\frac{[\Delta g]^3}{\Delta t[\Delta f]^2}\right)$ for \mathbb{S} to be a spread set (cf. Lemma 17). When $q > 4$ we suspect that the flokki plane $\pi(\mathbb{S})$ is not isomorphic to a flokki plane arising from Theorem 7 with $\sigma = 2$.

The remainder of this section concerns the planes $\pi(\mathbb{S})$ obtained as follows:

11 Theorem. *Let $q = 2^e$, where e is not divisible by 3. Then*

$$\mathbb{S} := \left\{ \begin{pmatrix} s + t^5 & t^{14} \\ t & s^2 \end{pmatrix} \mid s, t \in \text{GF}(q) \right\}$$

is a spread set.

PROOF. Since $(7, q - 1) = (2^3 - 1, 2^e - 1) = 1$, t^{14} is $1 - 1$. If $t_1, t_2 \in \text{GF}(q)$ with $t_2, \Delta t \neq 0$ but $\Delta g = 0$, then $t := t_1/t_2$ satisfies $g(t) = 0$ and hence has order 5. We must show that $U^3 + \Delta t \Delta f = 0$ has no root in $\text{GF}(q)$, that is, $(t + 1)(t^{14} + 1)$ is a non-cube in $\text{GF}(q)$. This can be checked by a calculation in

$\text{GF}(2)[t] = \text{GF}(16)$ since $\text{GF}(q)^*/\text{GF}(16)^*$ does not have order divisible by 3 in view of our hypothesis on e .

Fix t_1, t_2 in Theorem 7; we may assume that $t := t_2/t_1 \neq 0, 1$. As above we will use $h = h(t) := \frac{\Delta t \Delta f}{[\Delta g]^3} = \frac{(t+1)(t^{14}+1)}{(t^5+1)^3}$.

Let $\omega \in \text{GF}(4)$ with $\omega + \omega^2 = 1$; here ω might lie in $\text{GF}(q^2) \setminus \text{GF}(q)$. Since

$$\frac{1}{h} = \left(\omega + \frac{t^6 + t^4 + t^3 + t}{t^7 + 1} \right) + \left(\omega + \frac{t^6 + t^4 + t^3 + t}{t^7 + 1} \right)^2, \tag{1}$$

the trace condition in Theorem 7 is magically satisfied, and the roots of the quadratic $x^2 + hx + h$ are

$$\beta_1 = \left(\omega + \frac{t^6 + t^4 + t^3 + t}{t^7 + 1} \right) h, \quad \beta_2 = \left(\omega^2 + \frac{t^6 + t^4 + t^3 + t}{t^7 + 1} \right) h.$$

These lie in $K := \text{GF}(q^i)$, where $q \equiv i \pmod{3}$ for $i = 1$ or 2 , and not in $\text{GF}(q)$ if $i = 2$. Again magically,

$$\beta_1/\beta_2 = \frac{\omega + \frac{t^6+t^4+t^3+t}{t^7+1}}{\omega^2 + \frac{t^6+t^4+t^3+t}{t^7+1}} = \frac{\omega(t+1)(t^2 + \omega t + 1)^3}{\omega^2(t+1)(t^2 + \omega^2 t + 1)^3} \notin K^3, \tag{2}$$

as $\omega \notin K^3$ since $2e$ is not divisible by 6, so that the non-cube condition in Theorem 7 is satisfied. □ QED

12 Corollary. *If $q \geq 16$ then $\pi(\mathbb{S})$ is a translation plane of order q^4 that is not a semifield plane.*

PROOF. $\pi(\mathbb{S})$ is not a semifield plane since \mathbb{S} contains 0 and is not closed under addition [2, 5.1.2]. □ QED

13 Remark. If $q = 4$, then $\pi(\mathbb{S})$ is the semifield plane of order 16 with kernel $\text{GF}(4)$ [16, p. 209].

14 Theorem. *For $q = 2^e \geq 16$, the translation complement of $\pi = \pi(\mathbb{S})$ has order $q(q-1)^2e$, with orbits-lengths 1, q and $q^2 - q$ on the translation line.*

PROOF. Let \mathcal{S} be the spread of $\text{PG}(3, q)$ given by \mathbb{S} ; it contains the line $l(\infty) := \{(0, 0, s, t) \mid s, t \in \text{GF}(q)\}$. Then the translation complement $\text{Aut}(\pi)_0$ is the stabilizer C of \mathcal{S} in $\Gamma\text{L}(4, q)$. Suppose $X \in \Gamma\text{L}(4, q)$ stabilizes \mathcal{S} and $l(\infty)$.

Then X can be written as the product of a 2×2 block matrix $X = \begin{pmatrix} X_1 & X_2 \\ 0 & X_3 \end{pmatrix}$ and a field automorphism α such that

$$X_1^{-1} X_2 + X_1^{-1} A^\alpha X_3 \in \mathbb{S} \quad \text{for all } A \in \mathbb{S}.$$

A straightforward calculation then shows that the stabilizer G of $l(\infty)$ in C consists of all matrices $X = \begin{pmatrix} X_1 & X_2 \\ 0 & X_3 \end{pmatrix}$ for which $X_1 = \begin{pmatrix} a^{-14} & 0 \\ 0 & l^4 a^{10} \end{pmatrix}$, $X_2 = \begin{pmatrix} d & 0 \\ 0 & d^2 \end{pmatrix}$ and $X_3 = \begin{pmatrix} l^5 a^{-9} & 0 \\ 0 & l^{14} \end{pmatrix}$, with $a, l \in \text{GF}(q)^*$, $d \in \text{GF}(q)$, $\alpha \in \text{Aut}(\text{GF}(q))$. Thus G has order $q(q-1)^2 e$.

Another straightforward calculation shows that G has orbit-lengths 1, q and $q^2 - q$ on \mathcal{S} . Using [4] and the relations in Theorem 4, we find that $l(\infty)$ is fixed by C , so that $C = G$. \square *QED*

15 Theorem. $\pi(\mathbb{S})$ is a *flokki plane* that is not a *flock plane*, a *semifield plane* or a *lifted plane*.

PROOF. $\pi(\mathbb{S})$ is in the form given in Theorem 4. It is not a flock plane by [11], since $q+1$ does not divide the order of the translation complement, nor is it a lifted plane by [10]. It is not a semifield plane by Corollary 12. \square *QED*

5 Additional planes

The same calculations as in the proof of Theorem 11 produce translation planes in an entirely different manner using prequasifields. A finite **prequasifield** is a finite vector space K over the prime field, together with a binary operation $*$ on K that is left distributive and such that $x * t_1 = x * t_2 \Rightarrow x = 0$ or $t_1 = t_2$. This produces a translation plane $\pi(*)$ in a standard manner (compare [2, Sec. 3.1]).

Let $K = \text{GF}(q)$ with $q = 2^e$. Consider functions $a, b, c: \text{GF}(q) \rightarrow \text{GF}(q)$, and the operation $*$ defined on $\text{GF}(q)$ given by the formula

$$x * t = x^4 a(t) + x^2 b(t) + xc(t). \quad (3)$$

With notation as in Section 3, this defines a prequasifield if and only if

$$x^3 \Delta a + x \Delta b + \Delta c = 0 \Rightarrow t_1 = t_2. \quad (4)$$

16 Example. $a(t) = t$, $b \equiv 0$, $c(t) = kt^{4^j}$ with $k \in K$ a non-cube, so that we must require that $q \equiv 1 \pmod{3}$. Then (3) define a twisted field [1]. Note that this involves a relationship between some planes of Albert and some planes of Knuth given in Example 3.

17 Lemma. Assume that b is 1-1. If $\Delta a \Delta c \neq 0$ let β_1, β_2 denote the roots of the quadratic $[\Delta b]^3 x^2 + \Delta a [\Delta c]^2 x + \Delta a [\Delta c]^2 = 0$. Then (3) defines a prequasifield if and only if a and c are 1-1 and, for any distinct $t_1, t_2 \in \text{GF}(q)$, either

- (i) $q \equiv 1 \pmod{3}$, $T\left(\frac{[\Delta b]^3}{\Delta a [\Delta c]^2}\right) = 0$ and $\beta_1/\beta_2 \notin \text{GF}(q)^3$, or

(ii) $q \equiv 2 \pmod{3}$, $T\left(\frac{[\Delta b]^3}{\Delta a[\Delta c]^2}\right) = 1$ and $\beta_1/\beta_2 \notin \text{GF}(q^2)^3$.

PROOF. Suppose that (3) defines a prequasifield. Let $t_1 \neq t_2$ behave as in the first part of (4). Since $\Delta b \neq 0$, (4) implies that a and c are 1-1. If $U := \left(\frac{\Delta a}{\Delta b}x^2\right) + 1$ and $h := \frac{\Delta a[\Delta c]^2}{[\Delta b]^3}$, then (4) becomes $F(U) = 0$ for the same cubic $F(X) := X^3 + X^2 + h$ as in Section 4. The β_i are the roots of the Hessian $H(x) = x^2 + hx + h$ of F . We can now imitate the remainder of the proof of Theorem 7. Once again the argument reverses. □

18 Theorem. *Let $q = 2^e$, where e is not divisible by 3. Then each of the following triples of functions defines a prequasifield using (3), and the corresponding translation plane is not a semifield plane if $q \geq 16$:*

- (i) $a(t) = t$, $b(t) = t^5$, $c(t) = t^7$, and
- (ii) $a(t) = t^{14}$, $b(t) = t^5$, $c(t) = t^{1/2}$.

PROOF. (i) As in the preceding section we assume that $t := t_2/t_1 \neq 0, 1$ and use $h := \frac{\Delta a[\Delta c]^2}{[\Delta b]^3} = \frac{(t+1)(t^{14}+1)}{(t^5+1)^3}$. Then $1/h$ and the ratio β_1/β_2 in the preceding lemma are *exactly* as in the proof of Theorem 11. Thus, using (1) and (2) we obtain a prequasifield by the preceding lemma. The plane $\pi(*)$ is not a semifield plane for $q \geq 16$ since the set of functions $x \rightarrow x * t$ is not closed under addition [2, 5.1.2].

In detail: if the above set is closed under addition then, for each t, u , there is $v = v(t, u)$ such that $(x^4t + x^2t^5 + xt^7) + (x^4u + x^2u^5 + xu^7) + (x^4v + x^2v^5 + xv^7) = 0$ for all x . Write $a_i = t^i + u^i + v^i$. Then $x^4a_1 + x^2a_5 = xa_7$, and the case $x = 1$ gives $x^4a_1 + x^2a_5 = x(a_1 + a_5)$. Since b is not additive, we can choose t, u such that $a_1 \neq 0$, but then the function $(x^3 + 1)/(x + 1)$ is constant for $x \neq 0, 1$, which is a contradiction since $q > 4$.

(ii) Here $h := \frac{\Delta a[\Delta c]^2}{[\Delta b]^3} = \frac{(t^{14}+1)(t+1)}{(t^5+1)^3}$ is the same as in (i). Since h determines the β_i (for given $t_1 \neq t_2$), (ii) follows as in (i). □

It seems amazing that the same calculations are used in this theorem as in Theorem 11, so it is natural to wonder if there might be a relationship between the resulting planes. However, the present planes have order q , which might not even be a square, whereas the earlier planes had order q^2 .

We suspect that $\pi(*)$ is a new plane in both (i) and (ii). These two planes are evidently related in some formal algebraic sense, but the planes probably are not isomorphic.

See [14, 16] for other translation planes of order 2^e for odd e ; *those include the only other non-Desarguesian planes we know of having order 2^e when e is prime*. However, those are semifield planes or flag-transitive planes and hence

admit far more automorphisms than the above ones appear to. (The only obvious automorphisms of $\pi(*)$ fixing the vector 0 are powers of $(x, y) \rightarrow (x^2, y^2)$.)

6 Open problems

We conclude with open problems concerning the planes in this paper, which neither author plans to work on.

- (1) For given q and σ , do linear flokki (Example 2) determine non-isomorphic Hughes-Kleinfeld planes if and only if they are inequivalent under the group of the cone C_σ ?
- (2) Are the planes in Example 3 the only non-linear ones in which the q planes in Proposition 1 all meet?
- (3) Does the use of the automorphism 1/2 in Remark 10 produce a different plane when applied to the example in Theorem 7 if $q > 4$?
- (4) Does Corollary 9 usually produce a different plane from Theorem 11 when applied to the example in that theorem?
- (5) Are the planes in Theorem 18(i) and (ii) non-isomorphic?
- (6) Are there any more cubic flokki planes that are not semifield planes with f and g monomial?
- (7) Is there any relationship between flokki and generalized quadrangles?
- (8) Is there any relationship between flokki and hyperovals?

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