

## THE MAXIMALITY OF THE GROUP OF EUCLIDEAN SIMILARITIES WITHIN THE AFFINE GROUP

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**Abstract.** *The purpose of this note is to show by elementary means that over the field of real numbers, or more generally over any Euclidean field  $K$  with Archimedean order, the group of  $n$ -dimensional Euclidean similarities is maximal within the group of all affine mappings having a determinant of the form  $\pm\lambda^n \neq 0$ . As a corollary it turns out that the orthogonal group  $O_n(K)$  is maximal within the group  $SL_n(K)^\pm$  of all matrices of determinant  $\pm 1$ .*

### 1 Introduction

The maximality of certain classical groups within certain other ones is a subject that has been dealt with by many authors (cf. Dynkin [3] or the survey of Seitz [7]). In this note we shall consider a relatively easy particular instance of this type of question in an entirely elementary setting: the maximality of the group of Euclidean similarities within the group of affine transformations.

Let  $K$  be a field and  $n \geq 2$ . Let us denote by  $GL_n(K)$  the group of all invertible  $n \times n$  matrices, by  $SL_n(K)$  the group of all matrices having determinant one, by  $O_n(K)$  the group of all orthogonal matrices, i.e.  $M$  such that  $MM^\perp = I_n$  (where  $I_n$  denotes the  $n \times n$  identity matrix), by  $O_n^+(K)$  the group of all orthogonal matrices of determinant one, and finally by  $\Lambda_n$  the group of all matrices which have an element  $\lambda \neq 0$  along the main diagonal and zeroes everywhere else.

In a vector space  $V$  of dimension  $n$  over  $K$  an arbitrary affine transformation may then be written as  $X \rightarrow XM + B$ , where  $X, B \in V$ , and  $M \in GL_n(K)$ . A mapping  $X \rightarrow XM + B$ , where  $M \in \Lambda_n O_n(K)$  on the other hand, by analogy to the classical case may be called a Euclidean similarity. The maximality of the group of Euclidean similarities within the group of affine transformations is immediately seen to be equivalent to the maximality of  $\Lambda_n O_n(K)$  within  $GL_n(K)$ . In general it is not reasonable to expect that  $\Lambda_n O_n(K)$  should be maximal in  $GL_n(K)$  since the first group contains only matrices with determinants of the form  $\pm\lambda^n$ . In an arbitrary field however, the numbers of this form need not exhaust the multiplicative group. We are therefore led to consider the subgroup  $GL_n(K)^*$  of  $GL_n(K)$  which consists only of matrices with determinant  $\pm 1$  times a non-zero  $n$ -th power.

The purpose of this note is to show by an elementary argument using essentially only matrix multiplication that under suitable restrictions on  $K$  the group  $\Lambda_n O_n(K)$  is maximal within the group  $GL_n(K)^*$ . As to the restrictions, we require that  $K$  is a Euclidean field which means that  $K$  is ordered and every positive element is a square. Moreover it is required that the order in  $K$  be Archimedean. In most of our arguments however, it is enough to assume that  $K$  is Pythagorean (cf. Bachmann [1], page 216 or Weyl [8], page 13) which amounts to the following two properties: i) the element  $-1$  is a non-square and ii) the sum of two squares

is a square. It is well-known that a Pythagorean field  $K$  can be ordered, but generally in more than one way. That is, if we set  $a \leq b$  if and only if  $b - a$  is a square we may get only a partial ordering.

**Theorem 1** *For any Euclidean field  $K$  with Archimedean order the group  $\Lambda_n O_n(K)$  is a maximal subgroup of  $GL_n(K)^*$ .*

In the field  $R$  of real numbers the set of  $n$ -th powers contains all positive numbers. Therefore  $GL_n(R)^* = GL_n(R)$  and the group of Euclidean similarities is maximal within the affine group. It should be noted however, that for the field of real numbers theorem 1 can be deduced from two well-known facts on Lie groups. Namely, that  $O_n^+(R)$  is a maximal compact subgroup of  $SL_n(R)$  (cfr. [6], page 335) and that a maximal compact subgroup of a simple Lie group is maximal in the group theoretic sense (cf. [5], Chap. VI, Ex. A3 (iv) page 276).

The proof of theorem 1 will be given in section 2 below. As an easy corollary we shall show that  $O_n(K)$  is maximal within the group  $SL_n(K)^\pm$  of matrices with determinant  $\pm 1$ . In section 3 we shall give a counterexample showing that for the field of rational numbers the assertion of theorem 1 is not true. Of course, between this counterexample for rational numbers and the Euclidean fields in the hypothesis of theorem 1 there is still a wide gap.

## 2 The Maximality of $\Lambda_n O_n(K)$ in $GL_n(K)^*$

In this section we assume throughout that  $K$  is a Pythagorean field. When it is necessary to assume that  $K$  is Euclidean we shall state it explicitly. We shall proceed by induction on the dimension  $n$  and therefore we look at the case  $n = 2$  first. We have to show that  $\Lambda_2 O_2(K)$  is maximal in  $GL_2(K)^*$ . We shall proceed by several small steps in which we assume that  $H_2(K)$  is a group such that  $\Lambda_2 O_2(K) < H_2(K) \leq GL_2(K)^*$ .

**2.1** *In  $H_2(K)$  there exists a matrix of the form  $\begin{pmatrix} a & 0 \\ q & a^{-1}\varepsilon \end{pmatrix}$  where  $\varepsilon^2 = 1$  and at least one of the inequalities  $q \neq 0$  or  $a^2 \neq 1$  is satisfied.*

**Proof.** Let  $U$  be a matrix in  $H_2(K)$  which is not contained in  $\Lambda_2 O_2(K)$ . By multiplying with a suitable matrix  $X$  of  $O_2(K)$  we can achieve that  $UX$  maps the vector  $(1, 0)$  to a scalar multiple of itself and thus  $\lambda UX$  must have the form above for a suitable  $\lambda$ . The inequalities simply express the fact that  $\lambda UX$  does not belong to  $O_2(K)$ .

To find the matrix  $X$  assume  $(1, 0)U = (u_{11}, u_{12})$ . The matrices in  $O_2^+(K)$  have the general form  $X = \begin{pmatrix} c & -s \\ s & c \end{pmatrix}$  where  $c^2 + s^2 = 1$ . We wish to solve  $-u_{11}s + u_{12}c = 0$  for  $c$  and  $s$  satisfying  $c^2 + s^2 = 1$ . if  $u_{11} = 0$  take  $s = 1, c = 0$ . If  $u_{11} \neq 0$  we have  $s = u_{11}^{-1}u_{12}c$ . Now  $c^2 + s^2 = 1$  gives the condition  $(1 + u_{11}^{-2}u_{12}^2)c^2 = 1$ , i.e.  $c = \frac{1}{\sqrt{1 + u_{11}^{-2}u_{12}^2}}$ .  $\square$

In the following let us assume that  $\begin{pmatrix} a & 0 \\ q & a^{-1}\varepsilon \end{pmatrix}$  is a fixed matrix as in (2.1) contained in  $H_2(K)$ . Of course it is not contained in  $\Lambda_2 O_2(K)$ .

**2.2** The following matrices  $\begin{pmatrix} a & 0 \\ q & a^{-1} \end{pmatrix}$ ,  $\begin{pmatrix} a & 0 \\ q & -a^{-1} \end{pmatrix}$ ,  $\begin{pmatrix} a & 0 \\ -q & a^{-1} \end{pmatrix}$ , and  $\begin{pmatrix} 1 & 0 \\ 2a^{-1}q & 1 \end{pmatrix}$  are also contained in  $H_2(K)$ .

**Proof.**  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  belongs to  $O_2(K)$  and hence  $\begin{pmatrix} a & 0 \\ q & a^{-1}\epsilon \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} a & 0 \\ q & -a^{-1}\epsilon \end{pmatrix}$  belongs to  $H_2(K)$  which proves that the first two of the matrices in lemma (2.2) are in  $H_2(K)$ .

Now  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a & 0 \\ q & a^{-1} \end{pmatrix} = \begin{pmatrix} a & 0 \\ -q & -a^{-1} \end{pmatrix}$  is in  $H_2(K)$  and hence so is the matrix  $\begin{pmatrix} a & 0 \\ -q & a^{-1} \end{pmatrix}$ .

Finally the inverse of  $\begin{pmatrix} a & 0 \\ q & a^{-1} \end{pmatrix}$  is  $\begin{pmatrix} a^{-1} & 0 \\ -q & a \end{pmatrix}$  and hence  $\begin{pmatrix} a^{-1} & 0 \\ q & a \end{pmatrix}$  is in  $H_2(K)$ .

Thus  $\begin{pmatrix} a & 0 \\ q & a^{-1} \end{pmatrix} \begin{pmatrix} a^{-1} & 0 \\ q & a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 2a^{-1}q & 1 \end{pmatrix}$  also is an element of  $H_2(K)$ .  $\square$

**2.3** The matrix  $\begin{pmatrix} a \cdot c^2 - q \cdot s \cdot c + a^{-1}\epsilon \cdot s^2 & (a - a^{-1}\epsilon) \cdot s \cdot c - q \cdot s^2 \\ (a - a^{-1}\epsilon) \cdot s \cdot c + q \cdot c^2 & a \cdot s^2 + q \cdot c \cdot s + a^{-1}\epsilon \cdot c^2 \end{pmatrix}$  is contained in  $H_2(K)$  for any admissible pair  $c^2 + s^2 = 1$ . Here the value of  $\epsilon$  may be freely chosen to be 1 or  $-1$ .

**Proof.** The matrix  $\begin{pmatrix} c & -s \\ s & c \end{pmatrix}$  and its inverse  $\begin{pmatrix} c & s \\ -s & c \end{pmatrix}$  both belong to  $O_2(K)$  and the matrix in (2.3) is equal to  $\begin{pmatrix} c & -s \\ s & c \end{pmatrix} \begin{pmatrix} a & 0 \\ q & a^{-1}\epsilon \end{pmatrix} \begin{pmatrix} c & s \\ -s & c \end{pmatrix}$ .  $\square$

**2.4** When  $q = 0$  in (2.1) then

$$M = \begin{pmatrix} ((a^2 + a^{-2})/2)^{\frac{1}{2}} & 0 \\ (a^2 - a^{-2}/(2(a^2 + a^{-2})))^{\frac{1}{2}} & -(2/(a^2 + a^{-2}))^{\frac{1}{2}} \end{pmatrix} \in H_2(K).$$

**Proof.** From (2.3) with  $c = s = \frac{1}{2}\sqrt{2}$  we obtain that  $\frac{1}{2} \begin{pmatrix} a + a^{-1} & a - a^{-1} \\ a - a^{-1} & a + a^{-1} \end{pmatrix} = M_1$  is in  $H_2(K)$ . Now  $M_2 = \lambda \begin{pmatrix} a + a^{-1} & a - a^{-1} \\ a - a^{-1} & -(a + a^{-1}) \end{pmatrix}$  where  $\lambda = \frac{1}{\sqrt{2(a^2 + a^{-2})}}$  belong to  $\Lambda_2 O_2(K)$  and  $M_1 M_2$  belong to  $H_2(K)$  and is equal to  $M$ .  $\square$

By (2.4) it is possible to assume  $q \neq 0$  in (2.1) since we have  $a^2 - a^{-2} \neq 0$  when  $a^2 \neq 1$ .

**2.5** For some  $q \neq 0$  and for  $c^2 + s^2 = 1$  the matrix  $\begin{pmatrix} 1 & 0 \\ 2q(q \cdot c \cdot s - c^2 + s^2) & 1 \end{pmatrix}$  is contained in  $H_2(K)$ .

**Proof.** From (2.2) we know that  $\begin{pmatrix} 1 & 0 \\ q & 1 \end{pmatrix}$  belongs to  $H_2(K)$  for some  $q \neq 0$ . Now

$\begin{pmatrix} c & -s \\ s & c \end{pmatrix} \begin{pmatrix} 1 & 0 \\ q & 1 \end{pmatrix} = \begin{pmatrix} c - q \cdot s & -s \\ s + q \cdot c & c \end{pmatrix} = M_1$  belongs to  $H_2(K)$  and  $M_2 = p$   
 $\begin{pmatrix} c - q \cdot s & s \\ -s & c - q \cdot s \end{pmatrix}$  belongs to  $\Lambda_2 O_2(K)$  where  $p = 1/(1 - 2q \cdot c \cdot s + q^2 \cdot s^2)^{\frac{1}{2}}$ . Note that  
 the expression  $1 - 2q \cdot c \cdot s + q^2 \cdot s^2$  is positive and has a square root for all admissible pairs  $c, s$   
 since it is the sum of the two squares  $(c - q \cdot s)^2$  and  $s^2$  which cannot vanish simultaneously.  
 Thus  $M_1 M_2 = \begin{pmatrix} p^{-1} & 0 \\ -q(q \cdot c \cdot s - c^2 + s^2)p & p \end{pmatrix}$ . The inverse of  $M_1 M_2$  is equal to  
 $\begin{pmatrix} p & 0 \\ q(q \cdot c \cdot s - c^2 + s^2)p & p^{-1} \end{pmatrix}$ . From (2.2) we obtain that  $\begin{pmatrix} 1 & 0 \\ 2q(q \cdot c \cdot s - c^2 + s^2) & 1 \end{pmatrix} \in H_2(K)$ .  $\square$

**2.6** If  $K$  is a Euclidean and Archimedean field it follows from (2.5) that all matrices of the form  $\begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix}$  belong to  $H_2(K)$ .

**Proof.** Consider the function  $f(c) = q \cdot c \cdot s - c^2 + s^2$ . Since  $\begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ y & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ x+y & 1 \end{pmatrix}$  it suffices to show that the set of values  $y = f(c)$  assumed by the function  $f$  contains some interval, e.g.  $f(-1) = -1 \leq y \leq 1 = f(0)$ . Thus for given  $y$  in the interval  $-1 \leq 0$  we have to solve the equation  $q \cdot c \cdot s - c^2 + s^2 = y$  for  $c$  and  $s$ . Note that  $s = \sqrt{1 - c^2}$  so that we get the biquadratic equation

$$(4 + q^2)c^4 - (4 + q^2 - 4y)c^2 + 1 - 2y + y^2 = 0.$$

This equation is of the form  $Ac^4 - Bc^2 + C = 0$  where  $A, B > 0, C \geq 0$ . We see at once that a solution exists in  $K$  if, and only if, the discriminant  $B^2 - 4AC$  is non-negative. Evaluating the discriminant we find  $B^2 - 4AC = q^4 + q^2 - 4q^2y^2 > 0$  in view of  $-1 \leq y \leq 0$ . The solution for  $c^2$  is

$$c^2 = \frac{1}{2A}(B \pm \sqrt{B^2 - 4AC}).$$

We must be sure that  $0 \leq c^2 \leq 1$  since otherwise  $\sqrt{1 - c^2} = s$  would not exist in  $K$ . As long as we take the positive root in the formula above there is no problem with the lower bound. So we need only look at the upper bound. Now

$$\frac{B}{2A} = \frac{4 + q^2 - 4y}{2(4 + q^2)} \leq \frac{1}{2}$$

and

$$\frac{1}{2A} \sqrt{B^2 - 4AC} = \frac{1}{2(4 + q^2)} \sqrt{q^4 + 4q^2 - 4q^2y^2} \leq \frac{1}{2}.$$

Hence we may take the positive root in the formula for  $c^2$  and all our requirements to find a solution for  $c$  and  $s$  are fulfilled.  $\square$

From (2.6) it may be concluded that if  $K$  is Euclidean and Archimedean then  $H_2(K)$  contains all unimodular matrices and hence  $H_2(K) = GL_2(K)^*$ .

Let us now assume by induction that if  $H_{n-1}(K)$  for  $n-1 \geq 2$  is a subgroup of  $GL_{n-1}(K)^*$  which properly contains  $\Lambda_{n-1}O_{n-1}(K)$  then  $H_{n-1}(K) = GL_{n-1}(K)^*$ . We wish to show that the analogous statement with  $n-1$  replaced by  $n$  is also true.

Let  $K^n$  denote the vector space of all  $n$ -tuples in  $K$ . Consider a sequence of subspaces  $0 = U_0 \subset \dots \subset U_{n-1} \subset U_n = K^n$  where  $\dim U_i = i, i = 0, 1, \dots, n$ . Such a sequence is usually called a flag. We can choose an orthonormal basis  $b_1, b_2, \dots, b_n$  such that  $b_i \in U_i \setminus U_{i-1}$  and this implies that the group  $O_n(K)$  acts transitively on flags. Denote by  $F$  the special flag  $0 \subset U_1 = K(1, 0, \dots, 0) \subset U_2 = U_1 + K(0, 1, 0, \dots, 0) \subset \dots \subset U_n = U_{n-1} + K(0, \dots, 0, 1)$ . Its stabilizer  $GL_n(K)_F$  within the full matrix group  $GL_n(K)$  consists of all triangular matrices

$$T = \begin{pmatrix} a_{11} & 0 & \dots & 0 \\ a_{21} & a_{22} & \dots & 0 \\ & & \ddots & \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{pmatrix} \text{ where } \det T = a_{11}a_{22} \dots a_{nn} \neq 0.$$

As  $O_n(K)$  and hence a fortiori  $\Lambda_n O_n(K)$  act transitively on flags it follows from the assumption  $\Lambda_n O_n(K) \subset H_n(K)$  that there exists a triangular matrix  $M \in H_n(K)$  which is not contained in  $\Lambda_n O_n(K)$ . A triangular matrix is contained in  $\Lambda_n O_n(K)$  if, and only if, all elements off the diagonal are zero and the elements in the diagonal differ at most by a factor  $\varepsilon = \pm 1$ . Hence the matrix  $M$  above has non-zero elements off the diagonal or distinct elements on the diagonal which are not equal up to a factor  $\pm 1$ .

Let us now consider the stabilizer of the subspace  $U_{n-1} = \{(x_1, \dots, x_{n-1}, 0) | x_i \in K\}$ . It consists of matrices of the form  $\begin{pmatrix} a_{1,1} & \dots & a_{1,n-1} & | & 0 \\ \vdots & & \vdots & | & \vdots \\ a_{n-1,1} & \dots & a_{n-1,n-1} & | & 0 \\ \hline p_1 & & & p_{n-1} & | & \alpha \end{pmatrix}$  which we shall symbolically denote by  $(A, P, \alpha)$ .

Multiplication of two such matrices  $(A, P, \alpha)$  and  $(B, Q, \beta)$  follows the rule  $(A, P, \alpha) (B, Q, \beta) = (AB, PB + \alpha Q, \alpha\beta)$ . We wish to prove:

**2.7** For each  $(n-1) \times (n-1)$  matrix  $A$  such that  $\det A = \pm \lambda^{n-1} \neq 0$  the group  $H_n(K)$  contains at least one matrix of the form  $(A, P, \alpha)$ .

**Proof.** Note first that  $H_n(K)$  contains all matrices of the form  $(B, 0, \pm \lambda)$  where  $B \in \Lambda_{n-1} O_{n-1}(K)$  and  $\det B = \pm \lambda^{n-1}$ . Therefore, if in the matrix  $M$  above a deviation that causes it not to belong to  $\Lambda_n O_n(K)$  takes place above the  $n$ -th row we can use the induction hypothesis. It follows that  $H_n(K)$  contains matrices  $(A, P, \alpha)$  with arbitrarily prescribed  $A$  such that  $\det A = \pm \lambda^{n-1} \neq 0$ .

Otherwise the matrix  $M$  has the form  $\begin{pmatrix} a\varepsilon_1 & 0 & \dots & | & 0 \\ 0 & a\varepsilon_2 & \dots & | & 0 \\ & & \ddots & | & \\ 0 & & \dots & a\varepsilon_{n-1} & | & 0 \\ \hline p_1 & p_2 & \dots & p_{n-1} & | & \alpha \end{pmatrix}$ . Here  $\varepsilon_i = \pm 1$  and at least one of the  $p_i$  is not zero or  $\alpha \neq \pm a$ . We can get rid of any factors  $\varepsilon_i = -1$  in the

main diagonal by multiplying from the left with the matrix  $\begin{pmatrix} \varepsilon_1 & 0 & \dots & 0 \\ 0 & \varepsilon_2 & & \\ \vdots & & \ddots & \vdots \\ 0 & & & \varepsilon_{n-1} & 0 \\ 0 & & & 0 & 1 \end{pmatrix}$ .

If all the  $p_i$  are zero we conjugate by  $\begin{pmatrix} 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & & & \\ \vdots & & \ddots & \vdots & \vdots \\ & & & 1 & \\ 0 & \dots & & 0 & 1 \\ 0 & \dots & & 1 & 0 \end{pmatrix}$  and obtain

$$\begin{pmatrix} a & 0 & \dots & 0 & 0 \\ 0 & a & & & \\ \vdots & & \ddots & \vdots & \vdots \\ 0 & & & a & \\ 0 & \dots & & \alpha & 0 \\ 0 & \dots & & 0 & a \end{pmatrix}.$$

If on the other hand the vector  $(p_1, \dots, p_{n-1})$  is not zero we may assume that  $M = (aI_{n-1}, P, \alpha)$  and (2.7) follows by the same reasoning from the assertion below.

**2.8** Let  $P = (p_1, p_2, \dots, p_{n-1})$  and  $d^2 = p_1^2 + p_2^2 + \dots + p_{n-1}^2$ . Then any matrices of the form

$$(aI_{n-1}, P, \alpha) \text{ and } \begin{pmatrix} a & 0 & \dots & 0 \\ 0 & a & & \\ \vdots & & \ddots & \vdots \\ & & & a \\ 0 & \dots & & a & 0 \\ 0 & \dots & & d & \alpha \\ 0 & & & & a \end{pmatrix} \text{ are conjugate within } H_n(K).$$

**Proof.** We choose vectors  $(q_{1,1}, \dots, q_{1,n-1}), \dots, (q_{n-1,1}, \dots, q_{n-1,n-1})$  such that  $(q_{n-1,1}, \dots, q_{n-1,n-1}) = (p_1, \dots, p_{n-1})$  and  $(q_{i,1}, \dots, q_{i,n-1}) (q_{j,1}, \dots, q_{j,n-1}) = \delta_{ij} d^2$  where  $d^2 = p_1^2 + p_2^2 + \dots + p_{n-1}^2$ .

The matrix  $Q = \begin{pmatrix} q_{1,1} & \dots & q_{1,n-1} \\ \vdots & & \vdots \\ q_{n-1,1} & \dots & q_{n-1,n-1} \end{pmatrix}$  belongs to  $\Lambda_{n-1} O_{n-1}(K)$  and so  $(Q, 0, d) \in \Lambda_n O_n(K)$ .

Also  $(Q, 0, d)^{-1} = (d^{-2} Q^T, 0, d^{-1}) \in \Lambda_n O_n(K)$  and by an easy computation it follows that  $(Q, 0, d) (aI_{n-1}, P, \alpha) (d^{-2} Q^T, 0, d^{-1}) = (aI_{n-1}, (0, \dots, 0, d), \alpha)$ .

Now conjugating successively by  $\begin{pmatrix} 1 & 0 & \dots & & & 0 \\ 0 & 1 & & & & \\ \vdots & & \ddots & & & \vdots \\ & & & 1 & & \\ 0 & \dots & & 0 & 1 & \\ 0 & \dots & & 1 & 0 & \\ 0 & \dots & & & & 1 \end{pmatrix}$  and by  $\begin{pmatrix} 1 & 0 & \dots & & 0 & 0 \\ 0 & 1 & & & & \\ \vdots & & \ddots & & & \vdots \\ & & & 1 & & \\ 0 & \dots & & 1 & & \\ 0 & \dots & & 0 & 1 & \\ 0 & \dots & & 1 & 0 & \end{pmatrix}$  yields  $\begin{pmatrix} a & 0 & \dots & & & 0 \\ 0 & a & & & & \\ \vdots & & \ddots & & & \vdots \\ & & & a & & \\ 0 & \dots & & a & 0 & \\ 0 & \dots & & d & \alpha & \\ 0 & & & & & a \end{pmatrix}$ .

□

It is now possible to go a little beyond (2.7). If as above we find a matrix  $M \in H_n(K) \setminus \Lambda_n O_n(K)$  that has the shape  $M = (M', 0, \mu)$  and  $M'$  does not belong to  $\Lambda_{n-1} O_{n-1}(K)$  then for each  $A \in GL_{n-1}(K)^*$  we can find a matrix  $(A, 0, \alpha)$  in  $H_n(K)$ . This follows because we can use the induction hypothesis on the subgroup of  $H_n(K)$  formed by its matrices of the form  $(B, 0, \beta)$ .

We are now going to show that the stronger assertion with  $P = 0$  in (2.7) must be true anyway:

**2.11** For each  $(n - 1) \times (n - 1)$  matrix  $A$  such that  $\det A = \pm \lambda^{n-1} \neq 0$  the group  $H_n(K)$  contains at least one matrix of the form  $(A, 0, \alpha)$ .

**Proof.** If for some matrix  $A$  the group  $H_n(K)$  contains two matrices  $(A, P, \alpha)$  and  $(A, P', \alpha)$  with  $P \neq P'$  we may form the product  $(A, P, \alpha) (A, P', \alpha)^{-1} = (I_{n-1}, Q, q)$ . Since  $Q$  cannot be zero by (2.8) we are in a situation which implies the assertion.

We may therefore assume that for given  $A$  and  $\alpha$  there exists at most one matrix  $(A, P, \alpha)$  in  $H_n(K)$ . In other words, the vector  $P = P(A, \alpha)$  is a function of  $A$  and  $\alpha$ . Now multiplying  $(A, P(A, \alpha), \alpha)$  from the left by  $(I_{n-1}, 0, -1)$  we obtain  $(A, -P(A, \alpha), -\alpha)$  hence  $P(A, -\alpha) = -P(A, \alpha)$ . Multiplying from the right by the same matrix we obtain  $(A, P(A, \alpha), -\alpha)$  and hence  $P(A, -\alpha) = P(A, \alpha)$ . It follows that if  $P$  is a function of  $A$  and  $\alpha$  it must be identically zero. □

A commutator of two arbitrary matrices  $(A, 0, \alpha)$  and  $(B, 0, \beta)$  takes the form  $(ABA^{-1}B^{-1}, 0, 1)$ . Hence it follows that  $H_n(K)$  contains all matrices of the form  $(C, 0, 1)$  where  $\det C = 1$ . By (2.8) we may conclude that all matrices  $(I_{n-1}, P, 1)$  are contained in  $H_n(K)$ . These are matrices of transvections with respect to the hyperplane  $U_{n-1}$  introduced above. Since  $H_n(K)$  is transitive on hyperplanes it follows that  $H_n(K)$  contains all matrices of transvections. It is well-known that the matrices of transvections generate the subgroup  $SL_n(K)$  of matrices of determinant 1 (see e.g. Dieudonné [2], page 37).

Thus  $SL_n(K) \subseteq H_n(K)$  and hence  $H_n(K) = GL_n(K)^*$ . Theorem 1 is proved.

It is now easy to prove the following corollary where  $SL_n(K)^\pm$  denotes the group of all matrices of determinant  $\pm 1$ .

**Corollary** *If  $K$  is a Euclidean and Archimedean field then  $O_n(K)$  is maximal in  $SL_n(K)^\pm$ .*

**Proof.** Assume that  $O_n(K) < X \leq SL_n(K)^\pm$ . Then  $\Lambda_n O_n(K) < \Lambda_n X \leq GL_n(K)^*$ . By theorem 1 it follows that  $\Lambda_n X = GL_n(K)^*$  and this implies that  $X = SL_n(K)^\pm$ .  $\square$

For odd  $n$  it follows easily from the corollary that  $O_n^+(K)$  is also maximal in  $SL_n(K)$ . For even  $n$  this is still an open question.

In the proof of theorem 1 there was only one step, namely 2.6, where we actually did require the field  $K$  to be Euclidean and Archimedean. Therefore it may be concluded that if  $K$  is Pythagorean then theorem 1 remains true for  $K$  provided it is true for  $n = 2$ .

If  $K$  is Pythagorean but non-Archimedean, the corollary and hence theorem 1 are not true. For in such a field the set  $S$  of all numbers  $u$  which have absolute value less than some natural number  $n$  form a proper subring which contains all solutions  $c, s$  of  $c^2 + s^2 = 1$ . Therefore the  $2 \times 2$  matrices with entries in  $S$  and determinant  $\pm 1$  form a subgroup  $X$  such that  $O_n(K) < X < SL_n(K)^\pm$ .

### 3 Real Number Fields

In this section we give a simple counterexample showing that the results of section 2 do not remain true for fields which are not Pythagorean. Let  $K$  denote a subfield of  $R$  the field of real numbers. Let  $A_K$  denote the set of angles  $\alpha$  such that  $\cos \alpha$  and  $\sin \alpha$  are both in  $K$ . It is an immediate consequence of the addition theorems of  $\cos$  and  $\sin$  that  $A_K$  is a subgroup of all real angles under addition of angles. Therefore the group  $O_2^+(K)$  may be parameterized in the usual form

$$O_2^+(K) = \left\{ \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} \mid \alpha \in A_K \right\}.$$

The only difference to the usual parameterization for the field of real numbers is that the angles now are restricted to the subgroup  $A_K$ .

Let  $[\cos A_K]$  denote the subring of  $K$  generated by all values  $\cos \alpha$  where  $\alpha$  runs through  $A_K$ . Note that since  $\sin \alpha = \cos(\frac{\pi}{2} - \alpha)$  and  $\frac{\pi}{2} \in A_K$  the subring  $[\cos A_K]$  contains also all values  $\sin \alpha$ , where  $\alpha \in A_K$ . It contains 1 since  $0 \in A_K$ .

**Proposition 2** *If  $[\cos A_K]$  is a proper subring of  $K$  then there exist subgroups properly contained between each of the pairs of groups  $O_2^+(K)$  and  $SL_2(K)$ ,  $O_2(K)$  and  $SL_2(K)^\pm$ , and  $\Lambda_2 O_2(K)$  and  $GL_2(K)^*$ .*

**Proof.** Consider the set  $U$  of all  $2 \times 2$  matrices with entries in  $[\cos A_K]$  and determinant 1. Then because of the above parametrization of  $O_2^+(K)$  we see that  $U$  is a subgroup containing  $O_2^+(K)$ . Moreover  $U$  lies properly in between  $O_2^+(K)$  and  $SL_2(K)$  since a matrix of the form  $\begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix}$  is in  $U$  if, and only if,  $x \in [\cos A_K]$ . In a similar way let  $U_1$  be the set of all  $2 \times 2$  matrices with entries in  $[\cos A_K]$  and determinant  $\pm 1$ . Then  $U_1$  is a subgroup between  $O_2(K)$



and  $SL_2(K)^\pm$ . This follows since the elements of  $O_2(K)$  which are not in  $O_2^+(K)$  can be written as  $\begin{pmatrix} -\cos \alpha & \sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix}$ .

Finally it follows that  $\Lambda_2 U_1 = U_2$  is a subgroup properly contained between  $\Lambda_2 O_2(K)$  and  $GL_2(K)^*$ .

If  $K$  is a Pythagorean field then it is easy to see that every entry  $a_{ik}$  of an orthogonal matrix is also an element of the set  $\cos A_K$  since it satisfies an equation  $a_{i1}^2 + \dots + a_{ik}^2 + \dots + a_{in}^2 = 1$ . Hence for Pythagorean subfields of  $R$  the above construction of subgroups between  $O_n^+(K)$  and  $SL_n(K)$  etc. works for all  $n$  provided  $[\cos A_K]$  is a proper subring. Whether such Pythagorean fields exist must remain an open question since the following is only a much weaker example.

**Proposition 3**  $[\cos A_Q]$  is a proper subring of the field  $Q$  of rational numbers.

**Proof.** To find all values  $\cos \alpha$  where  $\alpha \in A_Q$  it is necessary to look at pairs of rational numbers  $c$  and  $s$  such that  $c^2 + s^2 = 1$ . Let  $c = n/m$  and  $s = n_1/m_1$  then we get a Pythagorean triple of integers  $x = n \cdot m_1$ ,  $y = n_1 \cdot m$ ,  $z = m \cdot m_1$ , and  $x^2 + y^2 = z^2$ . Conversely each Pythagorean triple of integers gives us a pair of rationals  $x/z$ ,  $y/z$  such that  $(x/z)^2 + (y/z)^2 = 1$ . We may assume that  $(x, y) = 1$  in such a triple since multiplying with a common factor  $n$  will not change the pair of rationals obtained in the way above. But the assumption  $(x, y) = 1$  implies that  $x$  and  $y$  do not have the same parity and hence,  $z$  is odd (cf. Hardy and Wright [4], page 190).

To determine the subring  $[\cos A_K]$  it would be necessary to use the precise knowledge on the set of solutions of  $x^2 + y^2 = z^2$  (cf. [4], loc. cit.). Here it suffices to know that  $z$  is odd. This means that each possible pair of values  $c = x/z$ ,  $s = y/z$  is a pair contained in the set  $Odd(Q)$  of rationals that can be written with odd nominator. That is to say, we have proved that  $\cos A_Q \subseteq Odd(Q)$ . If  $p, q$  are in  $Odd(Q)$  then so are  $p + q$ ,  $p - q$ , and  $pq$ . It follows that the subring generated by any subset of  $Odd(Q)$  is contained in  $Odd(Q)$  which is itself a proper subring of  $Q$  since it does not contain e.g.  $\frac{1}{2}$ . Therefore  $[\cos A_Q]$  is a proper subring of  $Q$ .  $\square$

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